



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

13DV-13349

PATENT

3746

Applicant: Hook et al.

Serial No.: 09/545,554

Filed: April 7, 2000

For: METHODS AND APPARATUS FOR REDUCING
GAS TURBINE ENGINE EMISSIONS

Art Unit: 3746

Examiner: T. Kim

CERTIFICATE OF MAILING BY EXPRESS MAIL TO
THE COMMISSIONER OF PATENTS AND TRADEMARKS

Commissioner for Patents
Box AF
Washington, D.C. 20231

Express Mail Mailing Label No.: EL752244872US
Date of Mailing: May 21, 2002

RECEIVED

MAY 30 2002

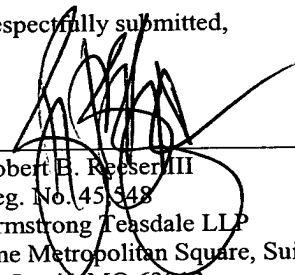
TECHNOLOGY CENTER R3700

I hereby certify that the documents listed below:

- Notice of Appeal
- Fee Transmittal
- Postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above in an envelope addressed to the Commissioner for Patents, Box AF, Washington, D.C. 20231.

Respectfully submitted,


Robert E. Reeser III
Reg. No. 45,548
Armstrong Teasdale LLP
One Metropolitan Square, Suite 2600
St. Louis, MO 63012
(314) 621-5070

Under the paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

**FEE TRANSMITTAL
for FY 2002**

Patent fees are subject to annual revision.

Complete If Known

Application Number 09/545,554

Filing Date April 7, 2000

First Named Inventor Richard B. Hook

Group Art Unit 3746

Examiner Name Tae Jun Kim

Attorney Docket Number 13DV-13349

RECEIVED

MAY 30 2002

TECHNOLOGY CENTER R3700

TOTAL AMOUNT OF PAYMENT (\$ 320.00)

METHOD OF PAYMENT (check one)

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit
Account
Number

01-2384

Deposit
Account
Name

- ☒ Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17
- ☐ Applicant claims small entity status.
See 37 CFR 1.27

- 2.
- ☐
- Payment Enclosed:

☐ Check ☐ Credit Card ☐ Money Order ☐ Other
FEE CALCULATION**1. BASIC FILING FEE**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility Filing Fee	
106	330	206	165	Design Filing Fee	
107	510	207	255	Plant Filing Fee	
108	740	208	370	Reissue filing Fee	
114	160	214	80	Provisional Filing Fee	

SUBTOTAL (1)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee From Below	Fee Paid
Independent Claims	-20**	x	=
Multiple Dependent	-3*	x	=

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge-late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2520	147	2520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1840*	113	1840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1440	218	720	Extension for reply within fourth month	
128	1960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	320.00
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1510	138	1510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1280	241	640	Petition to revive - unintentional	
142	1280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after a final rejection (37-CFR 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid SUBTOTAL (3)

320.00

SUBMITTED BY

Name (Print/Type)

Robert B. Reiser, III

Registration No.
(Attorney/Agent)

45,548

Complete (if applicable)

Telephone

(314) 621-5070

Signature

Date

May 21, 2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Office, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Applicant: Hook et al.

Serial No.: 09/545,554

Filed: April 7, 2000

For: METHODS AND APPARATUS FOR REDUCING GAS
TURBINE ENGINE EMISSIONS

Art Unit: 3746

Examiner: T. Kim

**NOTICE OF APPEAL FROM THE EXAMINER TO THE
BOARD OF APPEALS AND INTERFERENCES**

RECEIVED

MAY 30 2002

TECHNOLOGY CENTER R3700

Box AF
Commissioner for Patents
Washington, D.C. 20231

Sir:

1. Applicants hereby appeal to the Board of Appeals from the decision dated February 21, 2002, of the Examiner finally rejecting Claims 1-6 and 8-20.
2. Authorization to charge Deposit Account No. 01-2384 the amount of \$320.00 for the Appeal Fee (37 C.F.R. 1.17(b)) is submitted herewith.
3. Claim Status

A Final Office Action was issued dated February 21, 2002, indicating the status of the claims as:

Claims Withdrawn From Consideration: 1-6 and 8-20. Claim 7 was cancelled in the Amendment filed April 25, 2001.

Respectfully submitted,

Robert B. Reiser, III
Reg. No. 45,548
ARMSTRONG TEASDALE LLP
One Metropolitan Square, Suite 2600
St. Louis, Missouri 63102-2740
Telephone: (314) 621-5070
Facsimile: (314) 621-5065

05/28/2002 AWONDAF1 00000016 012384 09545554

01 FC:119 320.00 CH